



EPW

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: September 20, 2006 Name: Shelly Kean Signature: Shelly Kean

**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Wen Fei Yu

Application No.: 10/643,406

Filing Date: August 19, 2003

Title: HEADER FOR HEAT EXCHANGER

Examiner: Leonard R. Leo

Art Unit: 3753

Attorney Docket No: 10541-1850

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Amendment Under 37 CFR 1.111; Two(2) Sheets of Drawings (Figs. 2A, 2B and 4), pages 1/4 and 3/4
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$ \_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$ \_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	11	Minus	20	0	x \$25=			x \$50=	0
Indep.	1	Minus	3	0	x 100=			x \$200=	0
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	0
					Total	\$		Total	\$0

**Fee payment:**

- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 06-1500 in the amount of \$ \_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$ \_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 06-1500.

Respectfully submitted,

September 20, 2006  
Date

Eric J. Sosenko (Reg. No. 34,440)



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MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 CFR 1.111**

In response to the Office Action mailed June 20, 2006, Applicant respectfully submits this paper comprising the following parts:

- |      |                            |        |
|------|----------------------------|--------|
| I.   | Listing of the Claims      | Page 2 |
| II.  | Amendments to the Drawings | Page 5 |
| III. | Remarks                    | Page 6 |

Applicant notes that the Transmittal to which this paper is attached includes a Certificate of Facsimile under 37 C.F.R §1.8; and a fee statement calculating any fee(s) presently due in connection with the filing of this paper, along with an authorization to charge any fee deficiency to Deposit Account No. 06-1500.